



GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION

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January 24, 2007

TO: PROJECT DIRECTORS
Victim/Witness Assistance Program (VW)

RE: FY 2007/08 REQUEST FOR APPLICATION (RFA)

The Governor's Office of Emergency Services (OES), Law Enforcement and Victim Services Division is pleased to announce the release of the Victim/Witness (V/W) Assistance Program Request for Application (RFA) for Fiscal Year (FY) 2007/08.

The grant period will begin July 1, 2007 and end on June 30, 2008. Funding is contingent upon satisfactory project performance, passage of California's FY 2007/08 State budget, and availability of federal Victim of Crime Act (VOCA), State Penalty Assessment, and federal Violence Against Women Act (VAWA) funds.

The RFA is being issued early with the goal of placing the grant award into agreement by July 1, 2007 or upon the signing of the State budget. The grant award amounts for FY 2006/07 included a one-time increase of funds that is not available for FY 2007/08. Therefore, it is necessary to estimate the grant award allocation based on the amounts distributed to V/W Assistance projects in FY 2005/06. Should the federal and/or state funds allocated for the FY 2007/08 change, you will be notified and the grant award amount will need to be modified.

Please Note: Accurate and complete submission of information requested in this RFA will expedite timely processing of your application. Please pay particular attention to:

- *Line-item detail* is required for each budget item, along with justification for how the item ties to the objectives and activities of the victim/witness assistance grant;
- *Mathematical calculations* for all line-item expenses; and
- *Original signatures* by designated individuals, in the correct section(s) of the form(s).

New changes in the FY2006/07 Victim/Witness Assistance Program RFA are as follows:

- Fillable Application Forms – The forms are available online and are not included in the RFA packet. Please see instructions at the top of the RFA, Part IV, Checklist and Required Sequence form for access to the ([FORMS](#)) link at www.oes.ca.gov. Several of the forms have been revised. Please **do not** use forms from prior grant years, as it may hold up the processing of your grant application into award. An Excel Workbook is also available online with spreadsheets for each of the three budget categories.
- Signature Authorization Form – The Project Director and Financial Officer are required to sign this form and submit it with the Grant Award Forms package, whether or not authorization will be given to other individuals to sign grant documents on their behalf.

- Part III – Additional Information – This section has been added in FY 2007/08 to provide additional guidance to applicants in completing the Grant Award Application packet.
- Equipment Purchases: The amount of purchase for furniture and office equipment to be included in the Operating Expenses Budget Category page(s) of the application has been changed from an acquisition cost of \$1,000 or less to \$5,000 or less.

The V/W Assistance Program's RFA e-mail announcement includes a link to the RFA. The RFA and forms you need are also available on our website at [oes.ca.gov/Law Enforcement & Victim Services Division/RFA Funding Information](http://oes.ca.gov/Law%20Enforcement%20&%20Victim%20Services%20Division/RFA%20Funding%20Information). The forms needed to complete the application are located in "*Recipient Handbook, Appendices and Forms*." For your convenience, a copy of the RFA is also attached to your email.

To receive continuation funding in FY 2007/08, applicants must complete and return the enclosed application package to OES by **March 13, 2007**. Extensions will be approved upon request but may delay the ability to process the application into grant award by July 1, 2007.

Should you have any questions, please contact Sonia Banales at (916) 324-9159 or via e-mail at Sonia.Banales@oes.ca.gov.

Sincerely,

MARIAELENA RUBICK, Chief
Victim/Witness Section

Enclosure

The RFA is designed to be interactive electronically with fillable forms. However, the document requires users to use Adobe Acrobat 7.0 in order to save the text of their applications. Users will be able to complete and print forms using Adobe Reader 7.0, but will be unable to save their completed forms without Adobe Acrobat 7.0.

GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION

**VICTIM/WITNESS ASSISTANCE PROGRAM
REQUEST FOR APPLICATION**



JANUARY 2007

**GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION**

**VICTIM/WITNESS ASSISTANCE PROGRAM
REQUEST FOR APPLICATION**

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[APPLICATION CHECKLIST AND REQUIRED SEQUENCE](#)

[APPLICATION COVER SHEET](#)

GRANT AWARD FACE SHEET AND INSTRUCTIONS

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NON-COMPETITIVE BID JUSTIFICATION

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**GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION**

**VICTIM/WITNESS ASSISTANCE PROGRAM
REQUEST FOR APPLICATION**

PART I – INFORMATION

A. INTRODUCTION

This Request for Application (RFA) provides the information and forms necessary to prepare an application for the Governor's Office of Emergency Services (OES) grant funds. The terms and conditions described in this RFA supersede previous RFAs and conflicting provisions stated in the *Recipient Handbook*. The *Recipient Handbook* provides helpful information for developing the application and can be accessed at the website www.oes.ca.gov by selecting "*Recipient Handbook Appendices and Forms*."

B. CONTACT INFORMATION

Questions concerning this RFA, the application process, or programmatic issues should be submitted to the below contact person by telephone, fax, or e-mail.

Sonia Banales, Senior Criminal Justice Specialist

Victim/Witness Section

Tel: (916) 324-9159

Fax: (916) 324-8554

Sonia.Banales@oes.ca.gov

C. APPLICATION DUE DATE AND SUBMISSION OPTIONS

One original and one copy of the application must be delivered to OES' Law Enforcement and Victim Services Division by the date and time indicated below. Submission options are:

1. Regular and Overnight mail, **postmarked by March 13, 2007** to:

Governor's Office of Emergency Services
Law Enforcement and Victim Services Division
3650 Schriever Avenue
Mather, CA 95655
Attn: VICTIM/WITNESS ASSISTANCE PROGRAM - RFA, Victim/Witness Section

2. Hand delivered by **5:00 p.m. on March 13, 2007** to:

Governor's Office of Emergency Services
Law Enforcement and Victim Services Division
3650 Schriever Avenue
Mather, CA 95655
Attn: VICTIM/WITNESS ASSISTANCE PROGRAM - RFA, Victim/Witness Section

D. ELIGIBILITY

California Penal Code Sections 13835-13835.5 contain requirements for eligibility for victim/witness assistance centers that receive state funding generated by penalty assessments and fines of convicted offenders. It is the intent of the Legislature to make funds available only to victim/witness assistance centers that do not restrict services to victims or witnesses of a particular type of crime or to victims of crime where there is an identified suspect in the case. The County Board of Supervisors is the agency specified by statute to determine whether a county government agency (i.e., district attorney's office, probation department, etc.) or community-based organization will receive these funds.

E. FUNDS

The grant award period will begin July 1, 2007 and end June 30, 2008. Projects must budget for twelve (12) months.

It is anticipated there will be approximately \$19,766,431 available to fund the Victim/Witness Assistance Program in FY 2007/08. Funds for this Program are derived from State Penalty Assessment, Victim of Crime Act (VOCA), and Violence Against Women Act (VAWA) - Services*Training*Officers*Prosecutors (S*T*O*P) funds. **Refer to the Victim/Witness Assistance Program Funding Chart, at the end of Part III of this RFA, for your agency's FY 2006/07 funding allocation.**

Allocations listed on the funding chart are provisional amounts based on the FY 2005/06 award. The grant award amounts for FY 2006/07 included a one-time increase of funds that is not available for FY 2007/08. Therefore, it is necessary to estimate the grant award amounts based on FY 2005/06 amounts. If the funding amounts change, you will be notified and required to submit new budget pages reflecting the new amounts.

The OES will make continuation funding available for Victim/Witness Assistance projects contingent upon approval of the FY 2007/08 State Budget Act being passed by the California State Legislature. The funds must be included in the state budget approved and signed by the Governor.

Source and Use of Funds - State and federal funds available for FY 2006/07 are as follows:

a. State Penalty Assessment Funds

These funds are based on penalties levied against persons convicted of state crimes. The use of these funds is restricted to the operation of a comprehensive victim/witness assistance center, which provides direct services to respond to the basic rights and needs of victim and witnesses as mandated in Penal Code § 13835 et seq. Reasonable administrative expenses to appropriately support these direct services are allowable. These State funds can be used to match VOCA/VAWA match requirements following the provisions of the *Recipient Handbook* Section 6522.

b. Federal Victims of Crime Act (VOCA)

The VOCA grant program is authorized by the Victims of Crime Act of 1984, as amended, 42 U.S.C. 10601, et seq. VOCA authorizes federal financial assistance to states for the purpose of compensating and assisting victims of crime, providing funds for training and technical assistance and assisting victims of federal crimes. The following guidelines apply to VOCA funds:

- Funds may be used for organizational memberships in no more than three national or state criminal justice or victims' organizations per year.
- Funds are restricted to the provision of direct services for crime victims.
- Funds may be used for administrative costs supporting direct client services, e.g. data collection.
- Funds may NOT be used for indirect costs, which must be computed using the rate specified in the Grant Recipient Handbook, Section 2220, and must be paid with other fund sources.
- Funds may NOT support services and activities to incarcerated individuals even when the service pertains to the victimization of that individual.
- Funds may be used for costs related to conducting community and school presentations when the primary purpose of the presentation is to identify crime victims and provide, or refer, victims to needed services (e.g., salaries, materials, brochures and newspaper notices).
- Applicants must use volunteers unless there is a compelling reason to waive this requirement.
- Applicants must not charge crime victims for services provided under the V/W Assistance Program.
- Applicants must promote coordinated public/private efforts to aid crime victims (participate on state/federal/local task forces, commissions, working groups, coalitions, etc.), and develop written agreements contributing to better services for crime victims. These types of activities benefit crime victims and must be undertaken by victim service organizations in order to be eligible to receive VOCA funds. Therefore, these types of activities may not be supported with VOCA funds, which are restricted in their use to provision of direct victim services.
- Original publications (written, visual or sound) produced in whole or in part with VOCA funds must contain the following statement:

*Funding is made possible through the United States
Department of Justice, Victims of Crime Act, 2002-VA-GX-
4006.*

By signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act. The applicant/grantee further agrees that it will require the language in this certification be included in any sub-awards which contain provisions for the victim/witness assistance services and that all sub-grantees shall certify accordingly.

c. Violence Against Women Act – Services*Training*Officers*Prosecution (VAWA S*T*O*P)

S*T*O*P is one of the many programs contained within the VAWA. The purpose of the VAWA S*T*O*P Program is to assist State and local governments to develop and strengthen effective criminal justice strategies to combat violent crimes against women, and to develop and strengthen victim services in cases involving violent crimes against women, including sexual assault, domestic violence, and stalking.

Original publications (written, visual, or sound) produced in whole or in part with S*T*O*P funds must contain the following statement:

This project was supported by Grant No. _____ awarded by the Office on Violence Against Women, U.S. Department of Justice, and through Grant Award Number _____ from the California Governor's Office of Emergency Services (OES). Points of view, opinions, findings and conclusions in this publication are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice or of OES. OES reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, and use these materials and to authorize others to do so."

Match Requirement (VOCA and/or VAWA Funds)

All projects receive VOCA and/or VAWA funds in addition to State Penalty Assessment Funds. Projects ***must*** clearly identify staff positions, including match, paid from all funding sources in the budget pages. VOCA funds and the required match are restricted to direct services to crime victims. In addition, services to **witnesses** other than the crime victim are prohibited with VOCA and VAWA funds, and their required match.

The federal program guidelines specify a cash/in-kind match of 20 percent for VOCA and 25 percent for VAWA. When used to augment the project, expenditures for items such as personnel, operating expenses or equipment are considered a match if not in violation of prohibition on supplanting (see Supplanting Prohibited). Details regarding match categories and instruction for calculating match are stated below and are included in the budget section of the application forms package.

State Penalty Assessment funds can be used as a match for federally funded VOCA or VAWA projects. State funds used as a match for VOCA funds must be used to provide direct serves to crime victims. Federal VOCA requirements ***DO NOT*** allow federal funds to be used for administrative costs, lobbying or community prevention/education programs except for the identification and provision/referral of services for crime victims. Projects may, however, budget up to \$2,000 of the federal amount, or amount equal to one and a half percent (1.5%) if the grant exceeds \$150,000, for the required fiscal audit.

To calculate the match, divide the grant award amount by 80 percent (for VOCA) or 75 percent (for VAWA) to determine the total project cost, then subtract the grant award amount to determine local match.

<u>TOTAL PROJECT COST EXAMPLE</u>		
	<u>VOCA (80%)</u>	<u>VAWA (75%)</u>
Grant Amount	= \$50,000	= \$50,000
Divide \$50,000 by .80/.75	= \$62,500 (Total project cost)	= \$66,667 (Total project cost)
Subtract Grant Amount	= \$50,000 (80%)	= \$50,000 (75%)
Local Match	= \$12,500 (20%)	= \$16,667 (25%)

F. PROGRAM INFORMATION

The purpose of this document is to solicit applications for continuation funding from eligible applicants for the Victim/Witness Assistance Program. The major objectives of the program are: to provide funding for comprehensive assistance programs for victims and witnesses of all types of crime; and, to establish victim/witness assistance centers to handle the trauma experienced by victims and witnesses thereby allowing for faster and more complete recovery from the effects of crime.

Projects funded through the Victim/Witness Assistance Program are required to perform the activities mandated in Sections 13835.4 through 13835.5 of the Penal Code.

G. SERVICE STANDARDS

There are two categories of victim and witness services: Mandatory and Optional.

Mandatory Services

The following services are primary to the maintenance of a comprehensive victim/witness center and respond to the basic rights and needs of victim and witnesses. The term client is synonymous with victim or witness:

1. Crisis Intervention

Intervention is any in-person or telephone contact with a client who, at any time, has been negatively affected or is in emotional crisis as a result of a crime or potential crime. After victimization, when the client is in need of crisis counseling the center shall provide the necessary intervention services and arrange for the provision of needed services by local service agencies, as frequently as needed.

2. Emergency Assistance

Emergency assistance is any immediate financial intervention in response to a client's basic material needs, within the first 24 hours after in-person or telephone contact is made with the victim/witness.

At the initial or subsequent contact and assessment of a client's material needs, projects must provide and/or arrange emergency assistance and appropriate local agency services if needed. Assistance provided directly by the projects is subject to availability of resources.

3. Resource and Referral Assistance

Resource and referral assistance is a non-emergency referral, based on the client's request or advocates assessment, to individual(s) or agencies that may provide goods or services necessary to the client, but not provided by the center.

Centers are also encouraged to initiate contact with all appropriate local agencies and form local committees to meet regularly in coordinating services to victims.

4. Direct Counseling

Direct counseling refers to any in-person or telephone contact with a client who is not in emotional crisis resulting from a crime, for the purpose of providing guidance or emotional support.

Included would be contact with client for emotional support, empathic listening, checking on a client(s) progress, etc. Such direct counseling will be at a level that does not require licensed professionals. Center staff will make referrals to other appropriate resources for client(s) having professional counseling needs.

5. Victim of Crime Claims

The Victim/Witness Assistance Center will inform and assist clients in preparing applications for compensation. The advocate's role does not include determination of eligibility of a client. Advocates should not discourage a client from filing an application. Centers shall inform clients of the right to file an application; however, centers are not required to represent all clients in the compensation process.

The amount of time an advocate spends assisting a client with the application process will be determined by the center on a case-by-case basis as part of the advocate's role as a case manager.

6. Property Return

Upon request from the client, centers must actively assist in obtaining the return of a client's property held as evidence by the criminal justice system. In cases where property cannot be returned, an explanation will be provided to the client or family member.

7. Orientation to the Criminal Justice System

As needed, centers will provide clients with in-person or telephone information on the location, procedures and functioning of the local criminal justice system. Centers should have printed information available in languages appropriate to local ethnic or language needs.

8. Court Escort

Upon the request of the client or as deemed necessary by the center's staff, provide information on the case and/or support during court appearances or interviews with law enforcement and prosecutors. ***The client must have physical accompaniment for the "Court Escort" service to be counted.*** Clients who are assisted by staff in preparation for court appearances or interviews, but are not accompanied, are being provided "Orientation to the Criminal Justice System," and that service should be counted.

9. Presentations and Training for Criminal Justice Agencies

Centers will conduct informational presentations regarding resources available through victim/witness centers and available services to criminal justice agencies, explaining the rights and needs of victims. **NOTE: VOCA funds cannot be used for these activities.**

10. Public Presentations and Publicity

Projects must promote public awareness of their services that identify and refer crime victims to services through the use of public media (e.g., newspapers, radio, television) and presentations to victim services organizations and community groups, service organizations and community groups, service clubs, and schools. Centers are encouraged to participate in media/public awareness related events promoting victim services, including the annual Victims' Rights Week.

11. Case Status/Case Disposition

Upon request from the client, centers will advise client of the progress and disposition of their case as it progresses through the criminal justice system.

12. Notification of Family/Friends

Upon request from the client, centers will notify a client's relatives and/or friends of the occurrence of a crime and the client's condition as a result of that crime.

13. Employer Notification/Intervention

Upon request from the client, centers will inform an employer(s) that their employee was a victim/witness to a crime, and/or notify the employer(s) as to the condition of the client as a result of the crime. Upon request from the client, encourage the employer(s) to minimize any loss of pay or other benefits, where the occurrence of the crime has caused, or will cause an employee to lose time from work as a result of participation in the criminal justice system.

14. Restitution

Upon request from the client, assist in obtaining restitution, ascertaining the client's economic loss, and providing the probation department, district attorney and court with information relevant to the client's losses prior to the imposition of sentence. After sentence is imposed, the advocate should refer the client to the appropriate agency having jurisdiction over the offender. **NOTE: VOCA funds cannot be used for these activities.**

Optional Services

The following services are not required, but may be provided by the project if time and resources are available:

1. Employer Intervention

Any other intervention with the client's employer not provided in Mandatory Services.

2. Creditor Intervention

Intercede on behalf of clients requesting assistance in informing creditors of their temporary inability to meet current financial obligations due to the aftermath of the crime.

3. Child Care Assistance

The center may assist with arranging for temporary childcare while a client is appearing in court, meeting with prosecution or law enforcement officials or otherwise taking part in criminal justice proceedings. The advocate shall not provide direct childcare.

4. Witness Notification

This service pertains to notification of cancellations or changes in scheduled court appearances for witnesses in project related cases. **NOTE: Services to witnesses other than the crime victim are prohibited with VOCA and VAWA funds.**

5. Funeral Arrangements

Upon request from the client, assist family members of deceased victim/witness with funeral/burial arrangements.

6. Crime Prevention Information

Make available crime prevention information to victims and other persons. **NOTE: VOCA funds cannot be used for these activities.**

7. Witness Protection

Arrange for law enforcement protection or relocation to a new residence when a witness' safety is threatened. **NOTE: Services to witnesses other than the crime victim is prohibited with VOCA and VAWA funds.**

8. Temporary Restraining Order (TRO) Assistance

Assist client in obtaining temporary restraining orders.

9. Transportation Assistance

Assist with arranging or providing transportation for victims and witnesses.

10. Court Waiting Area

Provide a waiting area for victims and witnesses during court proceedings separate from defendants, defendant's families, and/or friends of defendants.

H. MULTIPLE FIELD OFFICES

Projects are required to provide addresses of all victim/witness assistance offices providing services within the county and include a listing of "Multiple Field Offices" containing the following information:

- office address;
- telephone number;
- number of employees assigned to this office; and
- supervisor's name, e-mail address, and telephone number.

The project coordinator is required to provide OES an "after hours" telephone contact number for their project. The Victim/Witness Section will retain this number only for the purpose of contacting a project representative in the case of an emergency. This requirement formalizes an informal practice, and is intended as further preparation for the state's new emphasis on domestic preparedness.

I. ADA COMPLIANCE

Applicants must be in compliance with the Americans with Disabilities Act (ADA) of 1990, 42 U.S.C. 12101, et seq, and Title 28 of the Code of Federal Regulations, part 35. The applicant shall operate so that each service is accessible to and usable by individuals with disabilities. Applicants may comply with the requirements of this section through such means as redesign of equipment, reassignment of services to accessible buildings, assignment of aides to beneficiaries,

home visits, delivery of services at alternative accessible sites, alteration of existing facilities and construction of new facilities, use of accessible rolling stock or other conveyances, or any other methods that result in making its services, programs, or activities readily accessible to and usable by individuals with disabilities.

Applicants must ensure that communication with individuals with disabilities is as effective as communication with others without disabilities. This includes the use of telecommunications systems for communications by telephone. Applicants must also ensure that individuals with impaired vision or hearing can obtain information as to the existence and location of accessible services, activities, and facilities, as well as provide appropriate signage. This includes all written materials (i.e. brochures, applications, consents, videos, etc.)

Applicants must ensure that all aspects of employment comply with ADA, including the application process (recruitment, hiring) and employment tasks.

J. CRISIS RESPONSE

OES' *Crisis Response* initiative was developed to guide and assist individual victim/witness assistance centers to more effectively respond to the increasing incidents of mass criminal victimization. Such incidents can overwhelm local victim assistance resources; in many cases, additional resources from outside the impacted county can be made available. OES is working to create a structure that allows for mutual assistance among counties, while ensuring funds and personnel resources are used in the most efficient manner.

Since January 2000, OES has been providing the Basic and Advanced Community Crisis Response training to victim/witness personnel. The training expands the knowledge and skills of the victim advocate to address the needs of groups of victims. OES hopes this training foundation will foster the formation of local/regional multidisciplinary crisis response teams, to better serve victims in a coordinated community response.

OES can provide technical assistance to the county impacted by a serious incident, and can help organize an efficient use of additional resources. The impacted county can request OES be the coordination point for resources from victim/witness assistance centers and other cooperating agencies. OES has agreed with other state agencies to confer with each other when a serious incident occurs, to assure coordination of available resources. These state agencies include the Victim Compensation and Government Claims Board, the Department of Mental Health and the Department of Education.

In the event of an incident in which mutual assistance is necessary, projects are authorized under this RFA to devote grant-funded resources (i.e., personnel and equipment) on a temporary basis to another victim/witness recipient for the purpose of providing direct services to crime victims under this program. ***However, recipients are prohibited from sending victim/witness grant-funded resources to the impacted county without an express invitation from the impacted county and written authorization from OES.*** Personnel that just "show up" can be disruptive and are often an inefficient use of funds.

Participation in providing Crisis Response mutual aid assistance is voluntary. Each county must evaluate with their agency head whether or not they would be expected to assist a neighboring county if requested. A formal operational agreement is suggested.

K. PREPARING AN APPLICATION

Part IV - Forms includes an Application Cover Sheet. Please complete the Application Cover Sheet and attach it to the front of the application.

- Application Cover Sheet;
- Grant Award Face Sheet (OES A301);
- Project Contact Information;
- Certification of Assurance of Compliance;
- Signature Authorization and Instructions;
- Project Narrative;
- Budget Narrative and the Project Budget (OES A303a-c); and,
- Application Appendix (refer to Part II, C.).

**GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION**

**VICTIM/WITNESS ASSISTANCE PROGRAM
REQUEST FOR APPLICATION**

PART II – INSTRUCTIONS

The instructions in this section correspond to each of the application components and to the forms required to complete the application.

The applicant must use the forms provided in *Recipient Handbook, Appendices, and Forms* ([FORMS](#)) and plain 8½" x 11" white paper for the application. The blank Project and Budget Narrative pages on the website have been pre-formatted to OES standards. If you create your own computer-generated Project and Budget pages, the format must duplicate the OES pre-formatted pages.

Copies of the application must be assembled separately and individually fastened in the upper left corner. ***Do not bind application.***

A. PROJECT NARRATIVE

The project narrative is the main body of information describing the problem to be addressed, the plan to address the problem through appropriate and achievable objectives and activities, and the ability of the applicant to implement the plan.

1. Problem Statement (maximum 1 page)

The performance history/problem statement section is a narrative that explains the development of your center and how it has evolved. Describe the resources you have and any growth in services. Explain what is missing today in terms of services and resources. For example, do you address all crime or do you limit to specific crimes and services due to the lack of available resources? Look at this as a report of your project's past performance, then describe what is still needed and why. Address any particularly unique problem in your county and why it is a problem.

2. Plan and Implementation

Describe the activities you will take to establish and maintain the following service requirements:

- Provide the mandatory services to victims of all types of crimes;
- Conduct field visits and, when appropriate, provide intervention and counseling services;
- **Recruit and use volunteers** to augment paid staff in the delivery of victim and witness services. In addition, centers must provide new and continuing volunteers with both structured and on-the-job training appropriate to their specific assigned duties. **Discuss how your agency fulfills the mandatory requirement of VOCA to recruit and use volunteers, including how their time is documented. Applicants must use volunteers unless there is a compelling reason to waive this requirement.** Also, centers must conduct a background check (i.e., fingerprinting, name check, etc.) on all prospective volunteers prior to acceptance into their program and assignment of duties (**VOCA funds cannot pay for this service**);

- Provide services that are responsive to the special needs of victims (e.g., elderly or disabled victims). Include a description of how the project is designed to provide the following legislated special services to victims in conjunction with mandatory and optional services:
 - hearing impaired;
 - disabled;
 - elderly; and
 - field visits; and
- Employ multi-lingual staff and/or maintain a listing of available translators.

Describe how, from where, and when, the project receives referrals. Projects are encouraged to review their existing referral procedures to ensure they are receiving referrals on the most serious cases likely to result in trauma to the victim or victim's family.

Give a description of working relationships with other agencies and the content of operational agreements (OAs). At a minimum, victim/witness assistance projects should have OAs with local Domestic Violence Centers, Rape Crisis Centers and agencies that provide services for child crime victims. *The OAs must contain original signatures, titles, and agency names for both parties and include dates effective for the proposed grant period.* This document must demonstrate a formal system of networking and coordination with other agencies and the applicant. A sample OA is provided in *Recipient Handbook, Appendices, and Forms*.

The Application Appendix must include a **current** organizational chart with all positions funded by the project, including supervisors as appropriate. It must show the relationships between the governing body, the organization, the project, project staff, project volunteers and the lines of supervision. Job titles on the organizational chart must match those in the Budget.

The Application Appendix must also include a listing of "Multiple Field Offices" if more than one site exists within the county providing services to victims and "after hours" telephone contact numbers for their project as specified in this RFA, Part I, Section H, Multiple Field Offices.

B. PROJECT BUDGET

The purpose of the project budget is to demonstrate how the applicant will implement the proposed plan with the funds available through this program. Project costs must be directly related to the objectives and activities of the project. The budget must cover the entire grant period. In the budget, include **only** those items covered by grant funds, including match funds when applicable. The applicant may supplement grant funds with funds from other sources. However, since approved line items are subject to audit, the applicant should not include in the project budget matching funds (if applicable) in excess of the required match. Budgets are subject to OES modifications and approval.

OES requires the applicant to develop a **line item** budget which will enable the project to meet the intent and requirements of the program, ensure the successful and cost effective implementation of the project. Failure of the applicant to include required items in the budget does not eliminate responsibility to comply with those requirements during the implementation of the project. The applicant should refer to the *Recipient Handbook* at www.oes.ca.gov. Select "*Recipient Handbook, Appendices, and Forms*" for additional information concerning OES budget policy or to

determine if specific proposed expenses are allowable. Contact the person listed on page 1-subsection B of this RFA should you have additional budget questions.

1. **Budget Narrative**

The applicant is required to submit a narrative with the project budget. The narrative must be typed and placed in the application in front of the budget pages. In the narrative describe:

- How the applicant's proposed budget supports the objectives and activities.
- How funds are allocated to minimize administrative costs and support direct services.
- The duties of project-funded staff, including qualifications or education level necessary for the job assignment. This does not take the place of the brief justification required in the line item budget.
- How project-funded staff duties and time commitments support the proposed objectives and activities.
- Proposed staff commitment/percentage of time to other efforts, in addition to this project.
- The necessity for subcontracts and unusual expenditures.
- Mid-year salary range adjustments.

2. **Specific Budget Categories**

All counties receive an allocation of Victims of Crime Act (VOCA) and/or Violence Against Women (VAWA) funds in addition to receiving State Penalty Assessment Funds. All expenditures on the budget pages **must** be identified by funding source, including match items. The budget categories are separated into State, VOCA, and/or VAWA funds and Match. The category total should encompass **ALL** funding sources, as applicable.

Each budget category requires line item detail including the method of calculation and justification for the expense. Enter the amount of each line item in the right hand column of the Budget Category form. Charges must be clearly documented **and rounded up to the nearest whole dollar**. Enter the total amount of the budget category at the bottom of the form.

If additional pages are needed, total only the last page of each budget category. The total of the budget including each funding source and/or match amount must correspond to the amount of the Total Project Cost (block 10G) on the Grant Award Face Sheet.

The bottom of the Equipment Category form contains a format for identifying the project total and fund distribution. This section must be completed and submitted even if there are no line items identified in the equipment category.

Please note: An Excel Workbook is available in Recipient Handbook, Appendices and Forms [\(FORMS\)](#) with spreadsheets for each of the following three budget categories:

- *Personal Services – Employee Salaries/Benefits;*
- *Operating Expenses; and*
- *Equipment.*

a. Personal Services – Salaries/Employee Benefits (OES A303a):

1) Salaries

Personal services include services performed by project staff directly employed by the applicant and must be identified by position and percentage of salaries. These may be salaried or hourly, full-time or part-time positions. Sick leave, vacation, holidays, overtime, and shift differentials must be budgeted as salaries. If the applicant's personnel have accrued sick leave or vacation time prior to the approval of grant funding, they may not take time off using project funds. Salaries for staff not directly employed by the applicant must be shown as participating staff (see *Recipient Handbook*, Section 4500) the Operational Expenses Category. Consultant services remain under Operating Expenses (refer to Part II, B.2.b. - Operating Expenses - paragraph two.)

2) Benefits

Employee benefits must be identified by type and percentage of salaries or monthly charge. The applicant may use fixed percentages of salaries to calculate benefits. Budgeted benefits cannot exceed those already established by the applicant.

Employer contributions or expenses for social security, employee life and health insurance plans, unemployment insurance, and/or pension plans are allowable budget items. Benefits, such as uniforms or California Bar Association dues, are allowable budget items if negotiated as a part of an employee benefit package.

A line item is required for each different position/classification, but not for each individual employee. If several people will be employed full-time or part-time in the same position/classification, provide the number of full-time equivalents (e.g., three half-time clerical personnel should be itemized as 1.5 clerical positions).

b. Operating Expenses (OES A303b):

Operating expenses are defined as necessary expenditures other than personal salaries, benefits, and equipment. Such expenses may include specific items directly charged to the project, and in some cases, when permitted by the funding source, an indirect cost allowance. The expenses must be grant-related (e.g., to further the program objectives as defined in the grant award), and be encumbered during the grant period.

The following items fall within this category: consultant services such as subcontractors, participating staff who are not employed by the applicant, travel, office supplies, training materials, research forms, equipment maintenance, software equipment rental/lease, telephone, postage, printing, facility rental, vehicle maintenance, answering service fees, and other consumable items. Furniture and office equipment with an acquisition cost of less than \$5,000 per unit (including tax, installation, and freight) and/or with a useful life of less than one year fall within this category. Otherwise these call under equipment expenses.

Salaries for staff not directly employed by the applicant must be shown as consultant and/or participating staff costs (whichever is applicable per *Recipient Handbook* Sections 3710 and 4500) under the Operating Expenses category. These costs must be supported by an Operational Agreement (OA), which must be kept on file by the

recipient and made available for review during an OES site visit, monitoring visit, or audit. In the case of grants being passed through a recipient to be operated by another agency, the staff from the second agency will be shown in the Operating Expenses category.

All applicants must budget for a minimum of one OES-sponsored training session during the grant year. A minimum of two project staff from each participating agency must attend each training conference. One of the project staff must be the financial officer, or his/her designee, listed on the Grant Award Face Sheet. Applicants should budget a minimum of \$200 for registration fees for each person plus the state per diem rate.

c. Equipment (OES A303c):

Equipment is defined as nonexpendable tangible personal property having **a useful life of more than one year** and an acquisition cost of \$5,000 or more per unit (including tax, installation, and freight).

A line item is required for different types of equipment, but not for each specific piece of equipment (e.g., three laser jet printers must be one line item, not three).

C. APPLICATION APPENDIX

The Application Appendix provides OES with additional information from the applicant to support components of the application. The following must be included:

- **Operational Agreements (OAs) Summary Form** must be completed and included in the Application Appendix. Maintain actual OAs on file at the project (available to OES program staff upon request), but **do not submit** with this Application. List those agencies, organizations and individuals with whom the project will have OAs for FY 2007/08 and the years covered by those agreements (one, two or three years). A new OA need not be obtained if the current one covers FY 2007/08. When this is not the case, a new OA for FY 2007/08 must be obtained. OAs must be **on file at the project** by July 1, 2007.

OA's must be dated and contain original signatures, titles, and agency names for both parties. At a minimum, each Victim/Witness Assistance Center should have OA's with local Domestic Violence Centers, Rape Crisis Centers and agencies that provide services for child crime victims. The OA should include each agency's roles and responsibilities and the services/resources they will be providing. For the purpose of this RFA, the terms OA and Memorandum of Understanding (MOU) are synonymous.

- Noncompetitive Bid Request, if applicable;
- Out of State Travel Request, OES 700, if applicable;
- Emergency Fund Procedures, if applicable;
- Other Funding Sources;
- Prior, Current, and Proposed OES Funding;
- Project Service Area Information;
- Computer and Automated Systems Purchase Justification Guidelines, if applicable; and
- Organizational Chart.

**GOVERNOR'S OFFICE OF EMERGENCY SERVICES
LAW ENFORCEMENT AND VICTIM SERVICES DIVISION**

**VICTIM/WITNESS ASSISTANCE PROGRAM
REQUEST FOR APPLICATION**

PART III – ADDITIONAL INFORMATION

The applicant is strongly encouraged to review the following sections in preparing the application.

- A. Finalizing the Grant Award Agreement
- B. Administrative Requirements
- C. Budget Policy
- D. Glossary of Terms

A. FINALIZING THE GRANT AWARD AGREEMENT

1. Standard Project Funding Authority

Allocation of funds is contingent on the enactment of the State Budget. OES does not have the authority to disburse funds until the budget is passed and the Grant Award Agreement is fully executed. Expenditures incurred prior to authorization are made at the project's own risk and may be disallowed. When the executed grant is received, and the State Budget is finalized, authorized expenditure reports may be submitted for reimbursement of expenditures incurred subsequent to the effective date of the grant award agreement.

If, during the term of the grant award, the state and/or federal funds appropriated for the purposes of the grant award are reduced or eliminated by the California Legislature or the United States Government, or in the event revenues are not collected at the level appropriated, OES may immediately terminate or reduce the grant award by written notice to the recipient. However, no such termination or reduction shall apply to allowable costs already incurred by the recipient to the extent state or federal funds are available for payment of such costs.

OES Grant Award Agreements are subject to applicable restrictions, limitations, or conditions enacted by the California Legislature and/or the United States Government, subsequent to execution of the Grant Award Agreement.

2. Grant Award Conditions

OES may add one or more grant award conditions to the Grant Award Agreement prior to or after funding. If conditions are added, they will be discussed with the applicant and a copy of the conditions will be sent to the grant recipient when the conditions are made part of the Grant Award Agreement. Grant award conditions may include requirements for sole source justification, a computer feasibility study, or other requirements deemed necessary by OES.

3. Grant Award Agreements

A copy of the executed Grant Award Agreement and pertinent attachments will be sent to the Project Director. The recipient is not authorized to incur costs against the grant until a copy of the fully executed Grant Award Agreement is received. When the executed grant is

received and the State Budget is finalized, the Report of Expenditures and Request for Funds (OES 201) may be submitted for reimbursement.

4. Grant Award Amounts

When the amount of funds available is limited, OES may reduce the amount of the grant award from the amount requested by the applicant. In addition, OES reserves the right to negotiate budgetary changes with the applicant prior to executing the Grant Award Agreement. If either of these actions is required, OES will notify the applicant prior to executing the Grant Award Agreement.

B. ADMINISTRATIVE REQUIREMENTS

The Recipient Handbook (RH)

The *Recipient Handbook* is accessible on the OES Internet website at www.oes.ca.gov by selecting "*Recipient Handbook, Appendices and Forms*." The *Recipient Handbook* contains administrative information and requirements necessary to implement the project. Recipients must administer their grants in accordance with the *Recipient Handbook* requirements. Failure to comply with these requirements can result in the withholding or termination of the grant award.

The information below may be cross referenced with the *Recipient Handbook* (RH) by referencing the handbook section number.

1. Internet Access (RH 11500)

Funded projects are required to maintain Internet access with an established e-mail address. Grant funds may be used for this purpose unless specifically prohibited by the terms of the program.

2. Progress Reports and Data Collection (RH 10100)

Funded projects are required to participate in data collection and to submit progress reports required by the program. Projects are required to keep accurate records to document the information reported in the progress reports. The records must be kept by the project for a period of three years. During site/monitoring visits, OES will review these records for accuracy and compare them with the reported data submitted on the progress reports.

3. Monthly/Quarterly Report of Expenditures and Request for Funds (OES 201) (RH 6300)

Community-based organizations shall submit a monthly Report of Expenditures and Request for Funds (OES 201) unless they request a quarterly reporting period. Government and education agencies receiving funds will be required to report on a quarterly basis. This form is due within 30 calendar days of the end of the reporting period and must be submitted whether or not the project has incurred expenses. Delays in submitting the form OES 201 will result in the withholding of funds and may result in the recommendation to OES' Executive Director for termination of the grant award.

4. Technical Assistance/Site Visits (RH 10300)

Funding project are assigned an OES program specialist to oversee the progress of the project in achieving its goals, objectives and compliance with the Grant Award Agreement. Program specialists are available to assist the project in the successful implementation of the project and in meeting the administrative requirements of the Grant Award Agreement. New projects should expect a site visit from the assigned program specialist within the first six months of the grant period. Follow-up site visits will be conducted periodically throughout the life of the grant. Projects may request a site visit to obtain technical assistance.

5. Monitoring Requirements (RH 10400)

A monitoring visit is an onsite assessment by OES staff to determine if the project is in compliance with the terms of the program, the Grant Award Agreement, the Program Guidelines, the RFA/RFP, and the *Recipient Handbook*. Projects will be monitored on a random or as-needed basis.

6. Bonding Requirements (RH 2160)

Private community-based organizations (CBO) and American Indian organizations are required to obtain and send to OES a notarized copy of a blanket fidelity bond or equivalent insurance contract applicable to officials and employees of OES-funded projects within 60 days of the signed Grant Award Agreement. Failure to comply with this requirement may result in the withholding of grant funds or termination of the Grant Award Agreement. The beneficiary named on the bond or an endorsement must include the "State of California, Governor's Office of Emergency Services" and include the Grant Award number for identification purposes.

The time period covered by the bond must include the effective date and time period of the grant, including extensions. The bond must be in an amount equal to 50 percent (50%) of the total grant award and may have a deductible in an amount not to exceed one percent (1%) of the bond.

A bond is not required of a recipient sponsored by units of government. CBOs sponsored by units of government may submit documentation indicating this in lieu of the bond or insurance contract, unless specifically required terms of the program or grant award conditions.

7. Audit Requirements (RH 8100)

Recipients must arrange for an independent audit of the grant award and may budget a portion of the audit costs. Instructions for budgeting funds for audit costs are outlined in the *RH* Section 2234.

8. Copyrights, Rights in Data, and Patents (RH 5300)

OES owns rights of and reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, and use, in whole or in part, material produced by activities supported by a Grant Award Agreement. These ownership rights are detailed in the *Recipient Handbook*.

9. **Source Documentation (RH 10111)**

Recipients are required to maintain source documentation to support claimed expenditures and project accomplishments. Source documentation is defined as records used to validate project activities and achievements as pertaining to the objectives outlined in the Grant Award Agreement. Recipients are to retain source documentation for progress reports on a quarterly basis regardless of submission requirements. Requirements and definitions for program specific source documentation are delineated in the terms of the program. Recipients will be required to have written job descriptions on file for positions funded by OES detailing specific grant-related activities to achieve project objectives.

C. **BUDGET POLICY**

This document summarizes information on OES Budget Policy contained in the *Recipient Handbook*. Additional information may be obtained by accessing the *Recipient Handbook* at www.oes.ca.gov by selecting “*Recipient Handbook, Appendices and Forms.*”

1. **Supplanting Prohibited (RH 1313)**

Grant funds must be used to supplement existing funds for program activities and **not replace** funds appropriated for the same purpose. A written certification must be provided to OES indicating the grant funds will not be used to supplant existing funds. Supplanting will be the subject of application review, post-award monitoring, and audit. The rules on supplanting are found in Section 1313 of the *Recipient Handbook*.

2. **Project Income (RH 6610)**

Project income such as client fees and fees for services provided by the project (i.e., training, presentations, etc.), asset forfeitures, profits from the sale of project products, and conference proceeds as the result of a direct trade of time or products for money, must be used to offset or augment the grant unless otherwise specified in the RFA instructions. Project income cannot be used as matching funds unless otherwise specified in the RFA instructions.

3. **Contract and Procurement (RH 3400)**

A competitive bid process is required to purchase equipment or consultant services with grant funds. Noncompetitive bid contracts are disfavored. Noncompetitive bid request approval by OES program staff is required prior to the purchase of equipment in excess of \$5,000, or to hire a specific consultant charging over \$5,000. Local units of government may use their approved procurement policy except for contracts over \$50,000, which requires prior OES approval. For organizations without a written procurement policy, a competitive bid process involves determining the specifications for the items needed and obtaining at least three bids from different vendors. Whenever a specific individual/organization name is identified in the project budget, a noncompetitive bid request will be required. OES will provide assistance in submitting a noncompetitive bid request if OES determines it is in the best interest of the project. These procedures do not apply to funds shared with participating agencies under the terms of an Operational Agreement (see Section 4500, *Recipient Handbook*).

4. Match Policies (RH 6500)

The RFA Instructions (Part II) may specify a cash or in-kind match. When used to augment the project, expenditures for items such as Personal Services, Operating Expenses, or Equipment are considered match if not in violation of the prohibition on supplanting. Match specified in the budget will become part of the grant award. (Specific instructions for calculating the match are provided in Sections 6550 – 6550.2 of the *Recipient Handbook*.)

5. Travel Policies

The following is OES' current travel policy:

a. Selection of Travel Policy (RH 2236)

The applicant may prepare the budget using its own travel policy or the state travel policy according to the following guidelines. Travel reimbursement will only be allowed based on actual costs.

1) Units of Government

Units of government may use their own written travel policy or the state policy.

2) Community-Based Organizations (CBO)

A community-based organization may use the state travel policy or the applicant's written policy up to the maximum rates allowed by the state travel policy.

b. State Travel Policy (RH 2236.2)

Use the following state travel policy for budgeting travel expenses:

1) Out-of-State Travel

Out-of-state travel is restricted and only allowed in exceptional situations. Requests for approval for out-of-state travel must be submitted for OES approval.

2) Mileage

When a privately owned vehicle is utilized on project-related business, a maximum of 48.5 cents per mile is allowed, unless a higher rate is justified. Documentation justifying a higher rate must be on file and available for audit, but should not be submitted with the application.

3) Meals and Incidentals

a) Breakfast \$6.00

Breakfast may be claimed when travel commences at or prior to 6:00 a.m. Breakfast may be claimed on the last fractional day of a trip of more than 24 hours if travel terminates at or after 8:00 a.m.

b) Lunch \$10.00

Lunch may not be claimed for travel less than 24 hours. Lunch may be claimed if the trip begins at or before 11:00 a.m. and may be claimed on the last fractional day of a trip of more than 24 hours if the travel terminates at or after 2:00 p.m.

c) Dinner \$18.00

Dinner may be claimed if the trip begins at or before 4:00 p.m. Dinner may be claimed when travel terminates at or after 7:00 p.m., whether on a one-day trip or on the last day of a trip of more than 24 hours.

d) Incidentals \$6.00

Incidentals may be claimed for trips of 24 hours or more.

e) Total Per Diem

Total is \$40.00 for a 24-hour period.

4) Lodging

The maximum allowed lodging rate is \$84.00, plus applicable taxes (except as noted below). Lodging receipts are required for reimbursement.

5) Special Lodging Rates

The maximum allowed lodging rate in Los Angeles and San Diego counties is \$110, plus applicable taxes. The maximum for Alameda, San Francisco, San Mateo, and Santa Clara counties is \$140, plus applicable taxes.

6) Other

Taxi, airport shuttle, etc. which exceed \$3.50 must be supported by receipt. Parking in excess of \$10.00 must be supported by receipt.

6. Consultant Services (RH 3710)

Consultant services are provided on a contractual basis by individuals or organizations not direct employees of the applicant (see *Personal Services – Salaries*). Independent contractors must not be used in lieu of employees. Independent contractors are defined as individuals or organizations meeting some or all of the following criteria:

- produce a specific product or service;
- work independently without direct supervision from the applicant;
- work on specific projects;
- provide services for a limited number of hours or period of time; and/or,

- have no agency management or oversight responsibilities directed toward the financial success or direction of the agency.

a. Rates

The maximum rate for independent contractors is \$250 per hour (excluding travel and subsistence costs). A request for compensation for over \$250 per hour requires **prior approval** and additional justification.

1) Independent Contractors Employed by State and Local Government

Compensation for independent contractors will be allowed when the unit of government can not provide services without this cost. In these cases, the rate of compensation is not to exceed the daily salary rate paid by the unit of government.

b. Expert Witness Fees (RH 3710.2)

Projects, which routinely utilize “expert witnesses” as independent contractors to conduct evaluations and provide expert testimony in the courtroom, may budget for this expense. However, the project may only be charged for costs above what the jurisdiction is required to cover. The maximum allowable rate for witness fees is \$250 per hour up to \$2,000 per day. The total amount budgeted for expert witness fees must not exceed ten percent (10%) of the project’s total budget. Requests for proposed expert witness costs must be accompanied by written justification indicating the following:

- qualifications, training, and experience of the expert(s). Include a statement regarding recognition by the court of the individual as an expert;
- specialized certification/licensure [e.g., Masters in Social Work (MSW); Licensed Clinical Social Worker (LCSW), Marriage and Family Therapist (MFT), Medical Doctor (MD)];
- rate of pay per hour, including documentation of a survey of the availability of similar consultants, the current “going rate,” and the proposed rate of pay with a cost breakdown if expert is paid according to services (e.g., mileage, waiting time, court testimony);
- proposed services to be provided (e.g., analysis of forensic evidence, psychological evaluation);
- justification for why this cost cannot be paid with county funds (attach the justification to OES A303b).

7. Facility Rental (RH 2232)

Up to \$21 per square foot annually (\$1.75 per square foot per month) is allowed for facility rental. If the rental cost for office space exceeds this rate, it must be consistent with the prevailing rate in the local area. This documentation must be on file and available for audit and should not be submitted with the application.

a. Rental Space for Training and Counseling Rooms

Rental space for training and individual and/or group counseling rooms may also be charged to the grant providing the charge is based on actual costs and not reimbursed by other source.

8. Rented or Leased Equipment (RH 2233)

An explanation and cost analysis is required when equipment rented or leased. This analysis must demonstrate it is more cost-effective to rent or lease the equipment than it is to purchase it, and must be approved by OES prior to the execution of a rental or lease agreement.

9. Indirect Costs/Administrative Overhead (RH 2220)

Indirect costs are those not readily itemized or assignable to a particular project, but necessary to the operation of the organization and the performance of the project. The costs of operating and maintaining facilities, accounting services, and administrative salaries are examples of indirect costs. Flat rates not exceeding ten percent (10%) of personnel salaries (excluding benefits and overtime) or five percent (5%) of total direct project costs (excluding equipment) may be budgeted by the applicant for indirect costs if allowable by the funding source.

10. Audits (RH 8150)

OES projects expending \$25,000 or more of OES grant awards are required to complete and audit. The project may budget for the cost of obtaining a financial audit. Allowable audit costs are as follows:

- if the total amount of the grant is less than or equal to \$150,000, the project may budget up to \$2,000 for the financial audit cost; or
- if the total amount of the grant is greater than \$150,000, the project may budget up to one and a half percent (1.5%) of the total grant for financial audit costs.

11. Equipment (RH 2300)

Equipment is defined as nonexpendable tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit (including tax, installation and freight).

A line item is required for each different type of equipment, but not for each specific piece of equipment (e.g., three laser jet printers should be one line item, not three).

a. Allowable Expenses

Equipment may be budgeted if it is essential to the implementation of the project and to be used solely for project activities. Grant funds may not be used to reimburse the project for equipment already purchased.

Rented or leased equipment must be budgeted as an Operating Expense. Lease-to-purchase agreements are generally not allowable. If a lease-to-purchase is requested, the project will be required to submit justification, including cost-effectiveness. Prior approval by OES is required.

Equipment purchased in whole or in part with state or federal grant funds is the property of the state or the federal government. However, under certain conditions, equipment may be transferred to the r project at the end of the grant period. Satisfactory compliance with the Grant Award Agreement will be reviewed in considering the transfer of equipment.

b. Computers (RH 2340)

1) Community-Based Organization (RH 2242.1)

Community-based organization may budget up to \$25,000 in computer equipment, software, and related costs. OES will evaluate the proposed purchase on the basis of grant-related need. Prior approval by OES is required. The Recipient will be sent instructions for preparing the justification.

2) Units of Government (RH 2342.2)

Units of government may budget for computer equipment, software, and related costs. OES will evaluate the proposed purchase on the basis of grant-related need. OES must give approval prior to purchase. If federal grant funds totaling in excess of \$100,000 are used for automated data processing purchases, prior federal approval is also required. he Recipient will be sent instructions for preparing the justification.

3) Computer Purchase Justification (RH 2341)

Approval for purchases of computers and automated equipment is contingent on the applicant's ability to demonstrate cost-effective, project-related need which is best demonstrated by clearly relating each computer system or component to the grant objectives and activities. The Recipient will be sent instructions for preparing the justification.

c. Automobiles (RH 2331)

Automobiles are not allowable items unless permitted by the terms of the program. If an automobile is included in the budget, substantial justification demonstrating the grant-related need will be required before finalization of the Grant Award Agreement. The justification must describe the need for the automobile, including the size of service area, the need to provide direct service away from the office, and the reason why the agency will not allow personal automobiles usage during work hours. A cost analysis for automobile purchase as compared to other options including lease and personal automobile use and mileage, must be done and kept on file for review by OES's program staff during a site visit, monitoring visit, and/or audit.

12. Prohibited Expense Items (RH 2240)

a. Lobbying (RH 2242)

Refer to RH 2242.1 for an extensive list of prohibited activities.

b. Fundraising (RH 2243)

OES grant funds cannot be used for organized fundraising including financial campaigns, endowment drives, solicitation of gifts and bequests, or similar expenses incurred solely to raise capital or obtain contributions.

c. Real Property and Improvements (*RH 2244*)

Real property including land, land improvements, structures and their attachments, and structural improvements and alterations are not allowable expenditures unless authorized in the RFA instructions.

d. Interest (*RH 2245*)

The cost of interest payments is not an allowable expenditure unless the cost is a result of a lease/purchase agreement.

e. Food and Beverages (*RH 2246*)

The cost of food and/or beverages at grant-sponsored conferences, meetings, or office functions is not an allowable expenditure.

f. Weapons and Ammunition (*RH 2247*)

The cost of weapons and/or ammunition of any type are not an allowable expenditures unless they are part of a governmental negotiated benefit package or are specifically authorized in the RFA instructions.

g. Membership Dues (*RH 2248*)

The cost of membership dues for the licensing or credentialing of professional personnel is not an allowable expenditure unless it is part of a governmental negotiated benefit package or is specifically authorized in the RFA instructions.

h. Professional License (*RH 2248*)

The cost of a professional license is not an allowable expenditure unless specifically authorized in the RFA instructions.

i. Annual Professional Dues or Fees (*RH 2248*)

The cost of professional dues or fees are not allowable expenditures unless it is part of a governmental negotiated benefit package or are specifically authorized in the RFA instructions.

j. Charges, Fees and Penalties (*RH 2245*)

Finance charges, late payment fees, penalties, and returned check charges are not allowable expenditures.

k. Depreciation (*RH 2249*)

Equipment costs may not include additional costs calculated for depreciation.

Victim/Witness Assistance Program Funding Chart

FY 2007/08

Page 1 of 2

Grant Number	Recipient Name	VOCA 07	VOCA 07 MATCH	VAWA 07	VAWA 07 MATCH	VWA 07	TOTAL PROJECT COST
VW07260010	Alameda	\$293,920	\$73,480	\$83,313	\$27,771	\$474,656	\$851,889
VW07080020	Alpine	\$20,903	\$5,226			\$32,406	\$53,309
VW07220030	Amador	\$43,189	\$10,797			\$58,157	\$101,346
VW07260040	Butte	\$83,518	\$20,880			\$104,752	\$188,270
VW07120050	Calaveras	\$45,878	\$11,470			\$61,263	\$107,141
VW07120060	Colusa	\$30,742	\$7,686			\$43,775	\$74,517
VW07260070	Contra Costa	\$222,807	\$55,702			\$265,691	\$488,498
VW07220080	Del Norte	\$44,485	\$11,121			\$59,655	\$104,140
VW07260090	El Dorado	\$83,152	\$20,788			\$88,934	\$172,086
VW07260100	Fresno	\$305,412	\$76,353			\$361,133	\$666,545
VW07120110	Glenn	\$43,565	\$10,891			\$58,589	\$102,154
VW07250120	Humboldt	\$80,151	\$20,038			\$100,863	\$181,014
VW07210130	Imperial	\$86,185	\$21,546			\$107,834	\$194,019
VW07160140	Inyo	\$30,734	\$7,684			\$43,765	\$74,499
VW07250150	Kern	\$206,091	\$51,523			\$246,377	\$452,468
VW07260160	Kings	\$79,960	\$19,990			\$100,644	\$180,604
VW07220170	Lake	\$53,500	\$13,375			\$70,070	\$123,570
VW07150180	Lassen	\$42,957	\$10,739			\$57,889	\$100,846
VW07260190	Los Angeles	\$1,333,215	\$333,304	\$249,938	\$83,313	\$1,840,337	\$3,423,490
VW07210200	Madera	\$84,898	\$21,225			\$106,348	\$191,246
VW07260210	Marin	\$78,826	\$19,707			\$99,331	\$178,157
VW07150220	Mariposa	\$36,225	\$9,056			\$50,111	\$86,336
VW07260230	Mendocino	\$57,557	\$14,389			\$74,758	\$132,315
VW07250240	Merced	\$91,812	\$22,953			\$114,335	\$206,147
VW07120250	Modoc	\$30,008	\$7,502			\$42,926	\$72,934
VW07170260	Mono	\$28,938	\$7,235			\$41,689	\$70,627
VW07260270	Monterey	\$119,119	\$29,780			\$145,889	\$265,008
VW07261014	VItr. Ctr. of Napa	\$71,818	\$17,955			\$91,234	\$163,052
VW07210290	Nevada	\$52,031	\$13,008			\$68,374	\$120,405
VW07260300	Orange	\$529,538	\$132,385			\$499,419	\$1,028,957
VW07250310	Placer	\$83,752	\$20,938			\$105,024	\$188,776
VW07150320	Plumas	\$46,618	\$11,655			\$62,118	\$108,736
VW07260330	Riverside	\$382,108	\$95,527			\$480,289	\$862,397
VW07260340	Sacramento	\$370,493	\$92,623			\$436,330	\$806,823
VW07240350	San Benito	\$49,992	\$12,498			\$66,017	\$116,009

Victim/Witness Assistance Program Funding Chart

FY 2007/08

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Grant Number	Recipient Name	VOCA 07	VOCA 07 MATCH	VAWA 07	VAWA 07 MATCH	VWA 07	TOTAL PROJECT COST
VW07260360	San Bernardino	\$444,720	\$111,180			\$522,093	\$966,813
VW07260370	San Diego	\$455,765	\$113,941	\$83,312	\$27,771	\$669,460	\$1,208,537
VW07260380	San Francisco	\$257,042	\$64,261			\$305,245	\$562,287
VW07260390	San Joaquin	\$202,082	\$50,521			\$261,567	\$463,649
VW07260400	San Luis Obispo	\$83,341	\$20,835			\$104,546	\$187,887
VW07260410	San Mateo	\$170,755	\$42,689			\$205,547	\$376,302
VW07260420	Santa Barbara	\$110,938	\$27,735			\$136,437	\$247,375
VW07260430	Santa Clara	\$294,306	\$73,577			\$348,299	\$642,605
VW07260440	Santa Cruz	\$88,926	\$22,232			\$111,001	\$199,927
VW07260450	Shasta	\$83,732	\$20,933			\$105,001	\$188,733
VW07130460	Sierra	\$20,903	\$5,226			\$32,406	\$53,309
VW07150470	Siskiyou	\$49,109	\$12,277			\$64,997	\$114,106
VW07260480	Solano	\$128,960	\$32,240			\$157,258	\$286,218
VW07260490	Sonoma	\$117,821	\$29,455			\$144,387	\$262,208
VW07260500	Stanislaus	\$152,089	\$38,022			\$183,982	\$336,071
VW07210510	Sutter	\$56,169	\$14,042			\$73,153	\$129,322
VW07120520	Tehama	\$52,358	\$13,090			\$68,751	\$121,109
VW07120530	Trinity	\$30,373	\$7,593			\$43,347	\$73,720
VW07260540	Tulare	\$124,900	\$31,225			\$152,565	\$277,465
VW07210550	Tuolumne	\$53,519	\$13,380			\$70,090	\$123,609
VW07260560	Ventura	\$176,451	\$44,113			\$212,129	\$388,580
VW07250570	Yolo	\$82,456	\$20,614			\$103,528	\$185,984
VW07210580	Yuba	\$57,543	\$14,386			\$74,742	\$132,285
TOTAL FUNDING		\$8,538,355	\$2,134,596	\$416,563	\$138,855	\$10,811,513	\$19,766,431

*VOCA & VAWA match requirements met by VWA Funds allocated to each project.

GLOSSARY OF TERMS

Term	Definition
Activity	The specific steps or action a project takes to achieve a measurable objective.
Application	Once selected for funding, the original proposal and additional forms as required by OES becomes the application. This application, once signed by the OES director or designee and the local government agency or organization authorized to accept grant funding, becomes the Grant Award/Grant Award Agreement.
Community-Based Organization (CBO)	A documented, tax exempt, nonprofit, public benefit corporation serving the community. This term is used synonymously with nonprofit organization.
Equal Employment Opportunity Plan (EEOP)	A comprehensive plan analyzing the agency's workforce and all agency employment practices to determine their impact on the basis of ethnicity and gender.
EEOP Guidelines	Extensive description of state and federal civil rights requirements and what constitutes an EEOP (samples, forms, etc.). The document was prepared to assist recipients in ensuring nondiscrimination and in the development, implementation, and/or improvement of their EEOP for compliance with the law.
Grant Award/Grant Award Agreement	The signed agreement between OES and the local government agency or organization authorized to accept grant funding.
Grant Award Forms	The forms needed to prepare an application or proposal. They may be accessed on the OES website under " <i>Recipient Handbook, Appendices and Forms</i> " (FORMS)
Grant Funding Cycle	The number of years a program may be funded without soliciting a new competitive Request for Proposal. A funding cycle is typically three years.
Grant Funding Period	The period of time, determined by the Request for Proposal (RFP) or the Request for Application (RFA) which the project narrative, objectives, activities, and budget cover. The time period is usually one year, and is shown on the Grant Award Face Sheet (OES A301).
Implementing Agency	The agency or organization designated on the Grant Award Face Sheet responsible for the day-to-day operation of the project (e.g., probation department, district attorney, sheriff).
Noncompetitive Bid Contract	A contract for goods or services, where only a single source that can provide the services or goods is afforded the opportunity to offer a price for the specified services or goods. (Contracts sometimes include goods as well as services, and this definition will also apply to those circumstances.)
Nonprofit Organization	A documented, tax exempt, nonprofit, public benefit corporation serving the community. The term is used synonymously with CBO.
Objectives	A set of quantifiable projections to be carried out in order to accomplish the program goals.

Term	Definition
Operational Agreement (OA)	A formal agreement between two agencies which specifies the responsibilities of each agency in implementing the project, including the transfer of grant funds when appropriate. This includes MOUs, Letters of Intent, etc.
Participating Agency	An organization that receives grant funds through an Operational Agreement to participate in achieving the goals of a project. The participating agency must be a unit of government or a community-based organization.
Program	A specific set of goals and objectives established pursuant to legislative, congressional, or administrative action identifying an unmet need of law enforcement or victim services and supported by an appropriation from state or federal funding sources.
Program Guidelines	The instructions concerning the programmatic and administrative requirements unique to a particular OES grant-funded program.
Project	The implementation of a program's goals and objectives by a funded state or local government agency or CBO.
Proposal	The packet of information and forms required by the RFP and submitted to OES which specifies the priorities, strategies and objectives of the applicant.
Recipient	The agency or organization designated on the Grant Award Face Sheet which receives the grant funds and who will be responsible for accomplishing the planned objectives and program goals (e.g., County of Alameda, City of Fresno, State Department of Justice, Fairfield Youth Services Bureau).
Recipient Handbook	This handbook outlines the administrative and fiscal terms and conditions required of grant projects. Funded projects must administer their grants in accordance with these conditions. The <i>Recipient Handbook</i> is accessible on the OES website at www.oes.ca.gov by selecting "Recipient Handbook, Appendices, and Forms."
Request for Application (RFA)	The RFA is a packet of instructions and forms issued by OES to obtain applications from applicants through a noncompetitive process.
Request for Proposal (RFP)	The RFP is a packet of instructions and forms issued by OES to solicit competitive proposals in order to select projects for funding.
Supplanting	Supplanting is the deliberate reduction of federal, state, or local funds due to the existence of OES funds thereby reducing the total amount available for the stated purpose.
Terms of the Program	The applicable Program Guidelines, application requests [Request for Proposal (RFP)/Request for Application (RFA)], grant award agreement, OES policy statements, and applicable statutes. In the event the terms of the program are inconsistent with the provisions of this handbook, the terms of the program shall be interpreted and construed as superseding the provisions of this handbook.